

Docket No.: 0765-005US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Craig A. Judy et al.

EXAMINER: Purdy, Kyle A.

SERIAL NO:

10/598,112

ART UNIT: 1611

FILED:

March 5, 2007

TITLE:

Compression-coated tablets and manufacture thereof

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the documents listed on the attached modified Form PTO-1449 (submitted in duplicate).

This information disclosure statement is being filed: within three months of the filing date of the national application; or within three months of the filing date of the entry of the national stage, as set forth in 37 C.F.R. §1.491, in an international application; or before the mailing date of a first Office Action on the merits in the above-identified case; or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114; or DXXX before the mailing date of any of a final Office action, a notice of allowance, or an action that otherwise closes prosecution, and is accompanied by: a statement pursuant to 37 CFR §1.97(e); OR **TXXX** the fee pursuant to 37 CFR 1.17(p) and the enclosed Fee Transmittal Form; or before payment of the issue fee, and is accompanied by: a statement pursuant to 37 CFR §1.97(e); AND the fee pursuant to 37 CFR 1.17(p) and the enclosed Fee Transmittal Form. П

"Express Mail" Tracking No.: EV644013211US

I hereby certify that this is being deposited with the Express Mail Post Office to Addressee service under 37 CFR 1.10 on the date indicated above, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Karen A Herrand

Statement Under 37 CFR 1.97(e)

- The documents listed on the attached Form PTO-1449 were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. A copy of the communication issued by the foreign patent office is enclosed herewith.
- No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

By submitting the Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist, nor that the information cited in the Statement is considered to be material to patentability as defined in 37 C.F.R. §1.56(b), nor that the information cited in the Statement is prior art as defined by 35 U.S.C. §102. The order of presentation of references is not to be construed as indicative of the importance of the references.

Please charge any additional fees that may be due, or credit any overpayment, to Deposit Account No. 50-1895, Reference No.0765-005US1.

Respectfully submitted,

Date: 26 June 2008

Leslie Meyer-Leon, Esq.

Jeslie Meyer Jus

Reg. No. 37,381

IP LEGAL STRATEGIES GROUP P.C. 1480 Falmouth Road P.O. Box 1210 Centerville, MA 02632-1210

Tel: 508-790-9299; Fax: 508-790-1955

0765-005US1/31657.doc

180

PTO/SB/17 (10-07) Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. First pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		4949)	Complete if Known				
FEE TRANSMITTAL			_ ' /	Application Number 10/598,112			
FEE IF	KANS		L	Filing Date		March 5, 2007	
For FY 2008				First Named Inv	ventor	Craig A. Judy et a	al.
Applicant claims small entity status. See 37 CFR 1.27				Examiner Name		Purdy, Kyle A.	
Applicant claims sma	all entity status	. See 37 CFR 1.27		Art Unit		1611	
TOTAL AMOUNT OF PA	YMENT (\$)	180		Attorney Docke	t No.	0765-005US1	
METHOD OF PAYME	NT (check all	that apply)	· · · · · · · · · · · · · · · · · · ·				
Check Credit	Card	Money Order	None	Other (nlease iden	tifv):	
Deposit Account		•		-			tegies Group
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	additional fee FR 1.16 and 1.	(s) or underpaymen 17	ts of fee(s) ✓ Credi	t any ove	rpayments	
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information and authorization	on on P1U-2038	•					
							
1. BASIC FILING, SEA	RCH, AND I			H FEES	EYAM	INATION FEES	
	S	mall Entity		Small Entity		Small Entity	5 5-14 (4)
Application Type	Fee (\$)		Fee (\$)	Fee (\$)	Fee (Fees Paid (\$)
Utility	310	155	510	255	210	105	
	210	105	100	50	130	65	
Design		105			1.00		
Plant	210	105	310	155	160		
Plant Reissue	210 310	155	510	255	620	310	
Plant Reissue Provisional	210 310 210						
Plant Reissue Provisional 2. EXCESS CLAIM FE	210 310 210	155	510	255	620	310 0	Small Entity Fee (\$)
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Plant Reissue Provisional 2. EXCESS CLAIM FE Fee Description Each claim over 20 Each independent c	210 310 210 EES (including R laim over 3 (155 105 .eissues)	510	255	620	310 0 Fee (\$) 50 210	Fee (\$) 25 105
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Plant Reissue Provisional 2. EXCESS CLAIM FE Fee Description Each claim over 20 Each independent c Multiple dependent Total Claims - 20 or HP = HP = highest number of to Indep. Claims - 3 or HP = HP = highest number of inc 3. APPLICATION SIZE If the specification ar listings under 37 or	210 310 210 EES (including R laim over 3 (claims Extra Claims tal claims paid for Extra Claims EFEE and drawings (CFR 1.52(e)) thereof. See Extra Shee	155 105 Leissues) Including Reissue Ins Fee (\$) X Tor, if greater than 20. The spaid for, if greater than 20. Exercised 100 sheets The spaid for, if greater than 20. The spaid for	510 0 ess) = Fee F = an 3. s of paper size fee [1)(G) an of each	255 0 Paid (\$)	electroni \$130 for 16(s).	310 0 Fee (\$) 50 210 370 Multiple De Fee (\$)	Fee (\$) 25 105 185 spendent Claims Fee Paid (\$) nce or computer each additional 5

SUBMITTED BY						
Signature	Leslie Meyer Loon	Registration No. (Attorney/Agent) 37,381	Telephone 508 790 9299			
	Leslie Meyer-Leon, Esq.		Date June 26, 2008			

Other (e.g., late filing surcharge): Fee for filing an Information Disclosure Statement

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-07)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE spond to a collection of information unless it displays a valid OMB control number Under the Perenwork Reduction Act of 1995 no persons at

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			Examiner Name			
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TOTAL AMOUNT OF PAYMENT	(\$)	180	Attorney Docket No.	0765-005US1		

TOTAL AMOUNT OF THE		100		Attorney Docker	110. 070	3-003031	
METHOD OF PAYMENT (check all that apply)							
Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 50-1895 Deposit Account Name: IP Legal Strategies Group							
	For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)						
Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee							
Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
FEE CALCULATION							
BASIC FILING, SEA Application Type	FILING F	EES nall Entity		CH FEES Small Entity		TION FEES Small Entity Fee (\$)	Fees Paid (\$)
Utility	310	Fee (\$) 155	510	1 <u>Fee (\$)</u> 255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	
2. EXCESS CLAIM FEES Fee Description Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) Multiple dependent claims Small Er Fee (\$) Fee (\$) 25 25 210 105 370 185					Small Entity Fee (\$) 25 105 185 Dependent Claims		
Total Claims - 20 or HP =	Extra Claim	<u>s Fee (\$)</u> x	<u> </u>	e Paid (\$)		Fee (\$)	Fee Paid (\$)
HP = highest number of to Indep. Claims - 3 or HP =	tal claims paid for Extra Claim	, if greater than 20. S Fee (\$) X	<u>Fee</u>	Paid (\$)			
HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof 100 = (round up to a whole number) x Fee (\$)							
4. OTHER FEE(S) Non-English Speci		/ 50 = 30 fee (no smal	l entity	_, ,			Fees Paid (\$)
Other (e.g., late filing surcharge): Fee for filing an Information Disclosure Statement				180			

SUBMITTED BY					
Signature	destir Mayur from	Registration No. (Attorney/Agent) 37,381	Telephone 508 790 9299		
	Leslie Meyer-Leon, Esq.		Date June 26, 2008		

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